# **Nonequilibrium atomic limit Projection operators NEGF-EOM approach to quantum transport Maicol A Ochoa and Michael Galperin Department of Chemistry and Biochemistry, UC San Diego.**

*Development of theoretical methods capable of describing transport in molecular junctions in the language of many-body states of an isolated molecule is necessary for an adequate description of RIETS*  with strong electron-vibration coupling and/or anharmonic effects, of *situations when the BO approximation breaks down, of energy transport in junctions with strong covalent bonding between the molecule and the contacts, and for the description of single-molecule magnet devices.*

*Within the projection operators approach we derive a canonical form of NEGF-EOMs, thus explicitly demonstrating proper formulation of the nonequilibrium atomic limit in junction problems.*

 $\mathbf{I}$ we orthogonalize vectors in generation *n* relative to all previous generations

$$
\hat{A}_n \equiv \hat{a}_n - \sum_{i=1}^{n-1} \mathbf{P}_{a_n, A_i} \, \mathbf{P}_{A_i, A_i}^{-1} \, \hat{A}_i
$$

### **THEORY AND METHODOLOGY**

The EOM technique provides a way to study correlation functions of any set of operators

 $G_{a,b}(\tau,\tau')=-i\left\langle T_c\,\hat{a}(\tau)\,\hat{b}^\dagger(\tau')\right\rangle$ 

yielding (in general) an infinite chain of equations of motion. The main drawback of the method is the necessity to make *uncontrolled approximations* in order to truncate the chain of equations.

 As our first example, we investigate a single quantum dot (QD) with a strong Coulomb interaction parameter U and weak coupling to the contacts  $\Gamma$ .



Uncontrolled decoupling of correlation functions may result in complications related to loss of proper commutation relations between the decoupled operators. Here we present a methodology that solves these problems.

Heisenberg EOMs introduce an infinite sequence of operators  $\hat{a}_n(\tau)$ 

$$
i\frac{\partial}{\partial \tau}\hat{a}_n(\tau) = \varepsilon_n(\tau)\hat{a}_n(\tau) + i\frac{\partial}{\partial \tau'}\hat{a}_n^{\dagger}(\tau') = \hat{a}_n^{\dagger}(\tau')\bar{\varepsilon}_n(\tau') +
$$

Utilizing the scalar product

$$
\mathbf{P}_{a,b} = \left\langle \left[\hat{a}; \hat{b}^\dagger\right]_{\pm} \right\rangle
$$

Introducing irreducible Green functions

$$
G_{a,b}^{(n)}(\tau,\tau') = G_{a,b}^{(n-1)}(\tau,\tau') - \int_c d\tau_1 \int_c d\tau_2 \ G_{a,A_n}^{(n-1)}(\tau,\tau_1) \hat G_{A_n,A_n}^{-1(n-1)}(\tau_1,\tau_2) G_{A_n,b}^{(n-1)}(\tau_2,\tau')
$$

and performing a local gauge transformation.

$$
\mathcal{G}^{(n-1)}_{A_n,A_n}(\tau,\tau') = \mathsf{P}^{-1/2}_{A_n,A_n}(\tau) \, \mathsf{G}^{(n-1)}_{A_n,A_n}(\tau,\tau') \, \mathsf{P}^{-1/2}_{A_n,A_n}(\tau')
$$

We arrive at a set of EOMs of the canonical (Dyson) type for arbitrary operators describing the system

$$
\left(i\frac{\partial}{\partial \tau} - \mathcal{W}_n(\tau)\right) \mathcal{G}_{A_n,A_n}^{(n-1)}(\tau,\tau') = \delta(\tau,\tau')\,\mathbf{I}_n + \int_c d\tau_1 \, \mathcal{S}_{A_n,A_n}^{(n-1)}(\tau,\tau_1) \, \mathcal{G}_{A_n,A_n}^{(n-1)}(\tau_1,\tau')
$$

This set of equations allows for a controlled decoupling of the EOM chain and resolves symmetry violations present in the standard NEGF-EOM approaches.

 $\cdot$   $\nu_{n,n+1}(\tau) \hat{a}_{n+1}(\tau)$ 

 $+\hat{a}_{n+1}^{\dagger}(\tau')\nu_{n+1,n}(\tau')$ 

Under these conditions the QD is close to the nonequilibrium atomic limit and the state-resolved system excitations are the right choice for our initial vector of operators.

$$
\hat{\mathsf{A}}_1 = \left((1-\hat{n}_\downarrow)\hat{d}_\uparrow,\,(1-\hat{n}_\uparrow)\hat{d}_\downarrow,\,\hat{n}_\downarrow\hat{d}_\uparrow,\,\hat{n}_\uparrow\hat{d}_\downarrow\right)^{\mathsf{T}}
$$

Shown are the maps for the (a) probability of state "spin up" (b) probability of state "spin down" (c) conductance, dI/dVsd.

Parameters are (in units of U): T=0.001,  $Eup = -0.4$  Edown =-0.6,  $\Gamma$ <sub>K</sub> =0.01. EF=0. Bias is applied symmetrically with splitting factor ½. Energy grid spanning region from -3 to 3.





### **QUANTUM DOT: COULOMB BLOCKADE REGIME.**

### **TWO-LEVEL SYSTEM**

### **QUANTUM DOT: KONDO REGIME.**

 Next, we investigate a single quantum dot (QD) with an *infinitely* strong Coulomb interaction and weak coupling to the contacts. Then double occupancy is forbidden and the Kondo effect is the result of bath-induced correlations in the system. Assumptions for this model lead us to choose

> This Figure shows the density of states, in equilibrium (blue) and under symmetricallyapplied bias (red).

> Parameters for this calculation are (in units of  $Γ)$ : T=0.005, Eup=Edown=-2,  $\Gamma_{\text{R},\text{L}}=0.5$  EF=0, splitting factor equal to  $\frac{1}{2}$  and an enegy grid spanning form



Here we illustrate (a) The density of states for  $\omega=0$  spin up (red),  $\omega=0$ spin down (blue) and for both with  $\omega=1$  (black). (b) DOS for the spin up as a function of ω and Energy E.

Parameters for this calculation are (in units of  $\Gamma$ ): Eup=-2.5, Edown=  $-1.5$ , g  $\mu$ B Bac=0.2 and Vsd=0. This figure illustrates avoided crossing demonstrated by the Kondo peaks of the two densities when the frequency of the *ac* magnetic field approached the molecular resonance.









## **QD UNDER AN** *ac* **MAGNETIC FIELD IN KONDO REGIME**

The presence of an *ac* magnetic field with frequency ω brings additional time-dependent dynamics. In this case, treatment within the projection operator NEGF EOM method is possible with the following choice

$$
\hat{\mathcal{A}}_1 = \left( (1 - \hat{n}_{\bar{\sigma}}^c) \hat{c}_{\sigma}, (1 - \hat{n}_{\bar{\sigma}}^c) \hat{c}_{k_0} \right)
$$

$$
\hat{c}_{\bar{\sigma}}^{\dagger} \hat{c}_{k \bar{\sigma}} \hat{c}_{\sigma}, (1-\hat{\eta}_{\sigma}^{c}) \hat{c}_{k \sigma}
$$

We study a model for a junction with only two levels within this methodology. In this case, we describe the system in terms of Hubbard operators

$$
\hat{A}_1 = \left(\hat{X}_{0a}, \hat{X}_{0b}, \hat{X}_{b2}, \right.
$$

The Figure presents simulations within the standard NEGF (red) and the projection operator scheme (blue). Shown are (a) the probabilities and (b) the coherences of the many-body eigenstates of the TLS, and (c) current vs. applied bias Vsd. Panels (b) and (c) show also results of the Redfield QME simulation (dotted and doubledotted lines, green)